

Combinatorics of generalized Dyck and Motzkin paths

Li Gan^{1,*}, Stéphane Ouvry^{1,†} and Alexios P. Polychronakos^{2,‡}

¹*LPTMS, CNRS, Université Paris-Saclay, 91405 Orsay Cedex, France*

²*Physics Department, the City College of New York, New York 10031, USA
and The Graduate Center of CUNY, New York, New York 10016, USA*



(Received 19 July 2022; accepted 16 September 2022; published 14 October 2022)

We relate the combinatorics of periodic generalized Dyck and Motzkin paths to the cluster coefficients of particles obeying generalized exclusion statistics, and obtain explicit expressions for the counting of paths with a fixed number of steps of each kind at each vertical coordinate. A class of generalized compositions of the integer path length emerges in the analysis.

DOI: [10.1103/PhysRevE.106.044123](https://doi.org/10.1103/PhysRevE.106.044123)

I. INTRODUCTION

Enumerating closed random walks on various lattices according to their algebraic area amounts to computing traces of the $\mathbf{n}$ th power of quantum Hamiltonians, where $\mathbf{n}$ is the length of the walks. This approach was initiated in the study of random walks on the square lattice by relating the problem of their enumeration to the Hofstadter model of a charged particle hopping on the lattice in the presence of a magnetic field [1], and we shall call such Hamiltonians Hofstadter-like. The generating function of walks weighted by their length and algebraic area maps to the secular determinant of the Hamiltonian. For specific choices of the parameter dual to the area, these Hamiltonians can be reduced to finite-size matrices whose near-diagonal structure depends crucially on the type of walks considered.

Previous work on the subject relied on the computation of the secular determinants of these matrices [2,3]. Progress in this direction was achieved by interpreting these determinants as grand partition functions for systems of particles obeying generalized g -exclusion quantum statistics in an appropriate one-body spectrum [4] (g is a positive integer, $g = 0$ being boson statistics, $g = 1$ fermion statistics). Expressing these partition functions in terms of their corresponding cluster coefficients yields, in turn, the sought-after traces. In this process, new combinatorial coefficients $c_g(l_1, l_2, \dots, l_j)$ appear, labeled by the g -compositions of $n = l_1 + l_2 + \dots + l_j$ with $\mathbf{n} = gn$ ($g = 2$ reproducing standard compositions). However, a direct combinatorial interpretation of these coefficients was missing.

In this work we bypass the secular determinant and instead tackle directly the trace of the $\mathbf{n}$ th power of the matrices devised to enumerate closed walks on various lattices according to their algebraic area. We relate the expression for the trace to periodic generalized Dyck paths (or Łukasiewicz paths) on

a square lattice with gn horizontal unit steps to the right going vertically either $g - 1$ units up or one unit down per step and never dipping below vertical coordinate 0 ($g = 2$ reproducing the usual periodic Dyck paths). By “periodic generalized Dyck paths” here and in the sequel we always mean generalized Dyck bridges (and excursions) with the constraint for the path to be in a strip of a given width (see Fig. 1). Meanders and more general paths are not relevant in this paper. More precisely calling “floor i ” the level at vertical coordinate $i - 1$, we demonstrate that $gn c_g(l_1, l_2, \dots, l_j)$ counts the number of all possible such paths with l_1 up steps from floor 1, l_2 up steps from floor 2, $\dots$, l_j up steps from floor j , for a total of $\mathbf{n} = gn$ steps inside the strip between floors 1 and $j + g - 1$. In fact, we obtain an even more detailed enumeration of these paths by providing the count of paths starting with an up step from a given i th floor among the $j + g - 1$ floors, and similarly of paths starting with a down step.

We further extend our results to the enumeration of generalized periodic Motzkin paths that can also move by horizontal unit steps, by relating such paths to matrices corresponding to mixed $(1, g)$ -exclusion statistics for particles having either fermionic $g = 1$ or g -exclusion statistics. The derived expressions for the corresponding combinatorial coefficients $c_{1,g}$ counting such paths with a fixed number of horizontal, up, or down steps for each floor are labeled by a further generalized $(1, g)$ -composition of the number of steps $\mathbf{n}$. The extension to other classes of paths, corresponding to other generalizations of quantum exclusion statistics, appears to be within reach of our method.

II. SQUARE LATTICE WALKS: THE HOFSTADTER MODEL

We start with the original algebraic area enumeration problem for closed walks on a square lattice: Among the $\binom{\mathbf{n}}{n/2}$ closed $\mathbf{n}$ -steps walks that one can draw, how many of them enclose a given algebraic area A ? Note that, for closed walks, $\mathbf{n}$ is necessarily even, $\mathbf{n} = 2n$.

The algebraic area enclosed by a walk is weighted by its *winding numbers*: If the walk moves around a region in a

*li.gan92@gmail.com

†stephane.ouvry@u-psud.fr

‡apolychronakos@ccny.cuny.edu

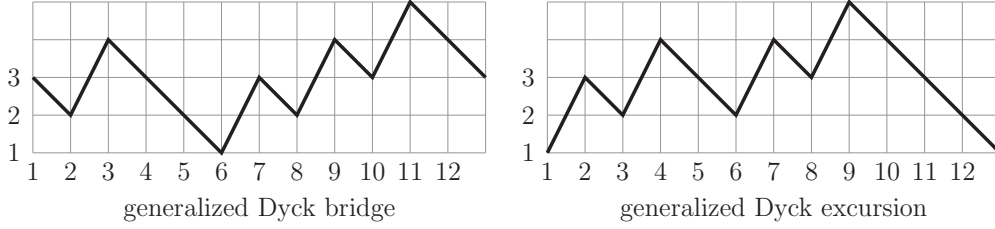


FIG. 1. A generalized Dyck bridge and a generalized Dyck excursion for $g = 3$, which starts from the first floor, of length $\mathbf{n} = 12$ with $l_1 = 1$ up step from the first floor, $l_2 = 2$ up steps from the second floor, and $l_3 = 1$ up step from the third floor. We refer to them as periodic generalized Dyck paths.

counterclockwise (positive) direction its area counts as positive, otherwise negative; if the walk winds around more than once, the area is counted with multiplicity (see Fig. 2). These regions inside the walk are called winding sectors. Calling S_m the arithmetic area of the m -winding sector inside a walk (i.e., the total number of lattice cells it encloses with winding number m , where m can be positive or negative) the algebraic area is

$$A = \sum_{m=-\infty}^{\infty} m S_m.$$

Counting the number of closed walks of length $\mathbf{n}$ on the square lattice enclosing an algebraic area A can be achieved by introducing two lattice hopping operators u and v in the right and up directions obeying

$$v u = Q u v,$$

and, as a consequence, such that the u and v independent part in

$$(u + u^{-1} + v + v^{-1})^{\mathbf{n}} = \sum_A C_{\mathbf{n}}(A) Q^A + \dots \quad (1)$$

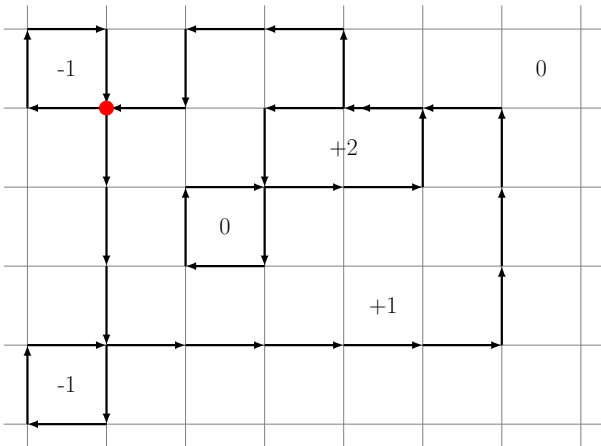


FIG. 2. A closed walk of length $\mathbf{n} = 36$ starting from and returning to the same bullet (red) point with winding sectors $m = +2, +1, 0, -1$ and various numbers of lattice cells per winding sectors, respectively 2, 14, 1, 2. The 0-winding number inside the walk arises from a superposition of $+1$ and -1 windings. Taking into account the nonzero winding sectors we end up with an algebraic area $A = (+2) \times 2 + (+1) \times 14 + (-1) \times 2 = 16$. Note the double arrow on the horizontal link which indicates that the walk has moved twice on this link, here in the same left direction.

counts the number $C_{\mathbf{n}}(A)$ of walks enclosing area A . For example, $(u + u^{-1} + v + v^{-1})^4 = 28 + 4Q + 4Q^{-1} + \dots$ tells that among the $\binom{4}{2}^2 = 36$ closed walks of length 4, $C_4(0) = 28$ enclose an area $A = 0$ and $C_4(1) = C_4(-1) = 4$ enclose an area $A = \pm 1$.

Provided that Q is interpreted as $Q = e^{i2\pi\Phi/\Phi_0}$ where Φ is the flux of an external magnetic field through the unit lattice cell and Φ_0 the flux quantum,

$$H = u + u^{-1} + v + v^{-1}$$

becomes the Hamiltonian for a quantum particle hopping on a square lattice and coupled to a perpendicular magnetic field, i.e., the Hofstadter model [1]. Selecting in (1) the u, v independent part of $(u + u^{-1} + v + v^{-1})^{\mathbf{n}}$ translates in the quantum world to focusing on the trace of $H^{\mathbf{n}}$ with the normalization $\text{Tr } I = 1$, where I is the identity operator. It follows that

$$\text{Tr } H^{\mathbf{n}} = \sum_A C_{\mathbf{n}}(A) Q^A, \quad (2)$$

i.e., the trace gives the generating function of walks weighted by their algebraic area.

When the flux is rational, $Q = e^{i2\pi p/q}$ with p, q coprime integers, the lattice operators u and v can be represented by $q \times q$ matrices

$$u = e^{ik_x} \begin{pmatrix} Q & 0 & 0 & \dots & 0 & 0 \\ 0 & Q^2 & 0 & \dots & 0 & 0 \\ 0 & 0 & Q^3 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & Q^{q-1} & 0 \\ 0 & 0 & 0 & \dots & 0 & Q^q \end{pmatrix},$$

$$v = e^{ik_y} \begin{pmatrix} 0 & 1 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & 1 & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & 1 \\ 1 & 0 & 0 & 0 & \dots & 0 & 0 \end{pmatrix},$$

where k_x and k_y are quasimomenta in the x and y directions. It follows that H becomes a $q \times q$ matrix as well, and computing the trace $\text{Tr } H^{\mathbf{n}}$ amounts to taking the matrix trace and integrating over k_x and k_y and dividing by $(2\pi)^2 q$ for a proper normalization.

One way to evaluate this trace is to compute the secular determinant of H , namely $\det(I - zH)$. To do so one first

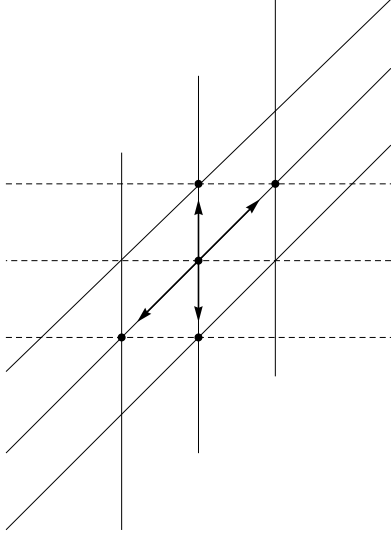


FIG. 3. The deformed square lattice walk steps after the modular transformation.

performs on u and v the modular transformation

$$u \rightarrow -uv, \quad v \rightarrow v,$$

which preserves the relation $vu = Quv$ and the corresponding traces. It amounts to looking at lattice walks on the deformed square lattice of Fig. 3.

The Hofstadter matrix becomes

$$H = -uv - v^{-1}u^{-1} + v + v^{-1}$$

$$= \begin{pmatrix} 0 & \omega_1 & 0 & \cdots & 0 & \bar{\omega}_q \\ \bar{\omega}_1 & 0 & \omega_2 & \cdots & 0 & 0 \\ 0 & \bar{\omega}_2 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & \omega_{q-1} \\ \omega_q & 0 & 0 & \cdots & \bar{\omega}_{q-1} & 0 \end{pmatrix} \quad (3)$$

with $\omega_k = (1 - Q^k e^{ik_x})e^{ik_y}$. Its secular determinant reads

$$\det(I - zH) = \sum_{n=0}^{\lfloor q/2 \rfloor} (-1)^n Z(n) z^{2n} - 2[\cos(qk_y) - \cos(qk_x + qk_y)]z^q \quad (4)$$

with coefficients $Z(n)$ which rewrite as trigonometric multiple nested sums [2]

$$Z(n) = \sum_{k_1=1}^{q-2n+1} \sum_{k_2=1}^{k_1} \cdots \sum_{k_n=1}^{k_{n-1}} s_{k_1+2n-2} s_{k_2+2n-4} \cdots s_{k_{n-1}+2} s_{k_n}, \quad (5)$$

where $s_k = (1 - Q^k)(1 - Q^{-k}) = 4 \sin^2(\pi k p/q)$ [by definition $Z(0) = 1$].

From the $Z(n)$'s in (5) the algebraic area enumeration can proceed [3,4] via

$$\log \left\{ \sum_{n=0}^{\lfloor q/2 \rfloor} Z(n) z^n \right\} = \sum_{n=1}^{\infty} b(n) z^n. \quad (6)$$

The $b(n)$'s rewrite as linear combinations of trigonometric simple sums

$$b(n) = (-1)^{n+1} \sum_{\substack{l_1, l_2, \dots, l_j \\ \text{composition of } n}} c_2(l_1, l_2, \dots, l_j) \sum_{k=1}^{q-j} s_{k+j-1}^{l_j} \cdots s_{k+1}^{l_2} s_k^{l_1}, \quad (7)$$

where

$$c_2(l_1, l_2, \dots, l_j) = \frac{\binom{l_1+l_2}{l_1}}{l_1+l_2} l_2 \frac{\binom{l_2+l_3}{l_2}}{l_2+l_3} \cdots l_{j-1} \frac{\binom{l_{j-1}+l_j}{l_{j-1}}}{l_{j-1}+l_j} \quad (8)$$

is labeled by the compositions $l_1, l_2, \dots, l_j$ of n , i.e., the 2^{n-1} ordered partitions of n ; for example, for $n = 3$ one has the four composition $3 = 2 + 1 = 1 + 2 = 1 + 1 + 1$.

Finally, thanks to the identity $\log \det(I - zM) = \text{tr} \log(I - zM)$, where tr stands for the usual trace of the matrix M , we can show that the sought-after trace reduces to

$$\text{Tr } H^{n=2n} = 2n(-1)^{n+1} \frac{1}{q} b(n)$$

so that

$$\text{Tr } H^{n=2n} = 2n \sum_{\substack{l_1, l_2, \dots, l_j \\ \text{composition of } n}} c_2(l_1, l_2, \dots, l_j) \times \frac{1}{q} \sum_{k=1}^{q-j} s_{k+j-1}^{l_j} \cdots s_{k+1}^{l_2} s_k^{l_1}. \quad (9)$$

The trigonometric simple sum $\sum_{k=1}^{q-j} s_{k+j-1}^{l_j} \cdots s_{k+1}^{l_2} s_k^{l_1}$ in (9) remains to be computed, which in turn yields the desired algebraic area enumeration via (2).

Looking at the structure of (5) one realizes that, if s_k is interpreted as a spectral function (Boltzmann factor),

$$s_k = e^{-\beta \epsilon_k},$$

where β is the inverse temperature and ϵ_k a one-body spectrum labeled by an integer k , then $Z(n)$ is the n -body partition function for n particles with one-body spectrum ϵ_k and obeying $g = 2$ exclusion statistics (no two particles can occupy two adjacent quantum states)¹ and (4) identifies $\det(1 - zH)$ as the grand-canonical partition function for exclusion-2 particles in this spectrum with fugacity parameter $-z^2$. Exclusion statistics is a purely quantum concept which describes the statistical mechanical properties of identical particles. Usual particles

¹For example in the three-body case one has

$$Z(3) = \sum_{k_1=1}^{q-5} \sum_{k_2=1}^{k_1} \sum_{k_3=1}^{k_2} s_{k_1+4} s_{k_2+2} s_{k_3}$$

i.e., for $q = 7$

$$Z(3) = s_5 s_3 s_1 + s_6 s_3 s_1 + s_6 s_4 s_1 + s_6 s_4 s_2 = \sum_{6 \geq k_1 \geq k_2+2, k_2 \geq k_3+2} s_{k_1} s_{k_2} s_{k_3},$$

where indeed no adjacent one-body quantum states contribute. This is the hallmark of $g = 2$ exclusion with the $+2$ shifts in the nested multiple sums.

are either bosons ($g = 0$) or fermions ($g = 1$). Square lattice walks invoke statistics $g = 2$, beyond Fermi exclusion. In this context, (6) identifies the $b(n)$'s as nothing but the cluster coefficients of the $Z(n)$'s.

III. g -EXCLUSION

We can go a step further by setting the quasimomenta k_x and k_y to zero, since (4) makes it evident that they do not appear in the $Z(n)$'s. This sets the corners of the Hofstadter matrix (3) to zero,² so that H becomes a particular case of the general class of $g = 2$ exclusion matrices

$$H_2 = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & 0 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & 0 \end{pmatrix}, \quad (10)$$

whose secular determinant $\det(I - zH_2) = \sum_{n=0}^{\lfloor q/2 \rfloor} (-1)^n Z(n) z^{2n}$ leads to the $Z(n)$'s and the $b(n)$'s in (5), (7), (8), and (9) with $s_k = g_k f_k$ as spectral function.³ So the enumeration of square lattice walks according to their algebraic area is captured by the $g = 2$ exclusion matrix (10), whose hallmark is a vanishing diagonal flanked by two nonvanishing subdiagonals f_k and g_k .

The generalization to $g = 3$ exclusion leads to the natural matrix form of H ,

$$H_3 = \begin{pmatrix} 0 & f_1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ 0 & 0 & f_2 & 0 & \cdots & 0 & 0 & 0 \\ g_1 & 0 & 0 & f_3 & \cdots & 0 & 0 & 0 \\ 0 & g_2 & 0 & 0 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & 0 & f_{q-2} & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & f_{q-1} \\ 0 & 0 & 0 & 0 & \cdots & g_{q-2} & 0 & 0 \end{pmatrix}.$$

Now two vanishing diagonal and subdiagonals appear between the f_k and g_k subdiagonals (i.e., there is an extra vanishing subdiagonal below the vanishing diagonal). Computing its secular determinant $\det(I - zH_3)$ yields

$$Z(n) = \sum_{k_1=1}^{q-3n+1} \sum_{k_2=1}^{k_1} \cdots \sum_{k_n=1}^{k_{n-1}} s_{k_1+3n-3} s_{k_2+3n-6} \cdots s_{k_{n-1}+3} s_{k_n}$$

with spectral function $s_k = g_k f_k f_{k+1}$. Clearly $Z(n)$ is the partition function of n particles of exclusion statistics $g = 3$ in the one-body spectrum implied by s_k .

In general, for g -exclusion the Hamiltonian is

$$H_g = F(u)v + v^{1-g}G(u),$$

where $F(u)$ and $G(u)$ are scalar functions of u , and amounts to a g -exclusion matrix⁴ (again ignoring the spurious umklapp matrix elements in the corners)

$$H_g = \begin{pmatrix} 0 & f_1 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & 0 & f_2 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ g_1 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & g_2 & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \cdots & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-g+1} & 0 & 0 & \cdots & 0 \end{pmatrix}, \quad (11)$$

where now $g - 1$ zeros appear between the f_k and g_k subdiagonals. Its secular determinant

$$\det(I - zH_g) = \sum_{n=0}^{\lfloor q/g \rfloor} (-1)^n Z(n) z^{gn} \quad (12)$$

yields

$$Z(n) = \sum_{k_1=1}^{q-gn+1} \sum_{k_2=1}^{k_1} \cdots \sum_{k_n=1}^{k_{n-1}} s_{k_1+gn-g} s_{k_2+gn-2g} \cdots s_{k_{n-1}+g} s_{k_n}$$

with

$$s_k = g_k f_k f_{k+1} \cdots f_{k+g-2}, \quad (13)$$

where $k = 1, 2, \dots, q - g + 1$. Again, as in the $g = 2, 3$ cases, $Z(n)$ admits an interpretation as the partition function of n exclusion g particles in the one-body spectrum implied by the spectral function $s_k = e^{-\beta \epsilon_k}$, with one-body levels labeled by the integer k . From

$$\log \left\{ \sum_{n=0}^{\lfloor q/g \rfloor} Z(n) z^n \right\} = \sum_{n=1}^{\infty} b(n) z^n$$

one infers

$$b(n) = (-1)^{n+1} \sum_{\substack{l_1, l_2, \dots, l_j \\ g\text{-composition of } n}} c_g(l_1, l_2, \dots, l_j) \times \sum_{k=1}^{q-j-g+2} s_{k+j-1}^{l_j} \cdots s_{k+1}^{l_2} s_k^{l_1} \quad (14)$$

with

$$c_g(l_1, l_2, \dots, l_j) = \frac{1}{l_1} \prod_{i=2}^j \binom{l_{i-g+1} + \cdots + l_i - 1}{l_i} \quad (15)$$

²These particular matrix elements contribute to spurious umklapp terms in (4) and can be ignored.

³The parameter g of g -exclusion should not be confused with the function g_k .

⁴Indeed the Hofstadter Hamiltonian is a $g = 2$ Hamiltonian

$$H = -uv - v^{-1}u^{-1} + v + v^{-1} = (1 - u)v + v^{1-2}(1 - u^{-1}).$$

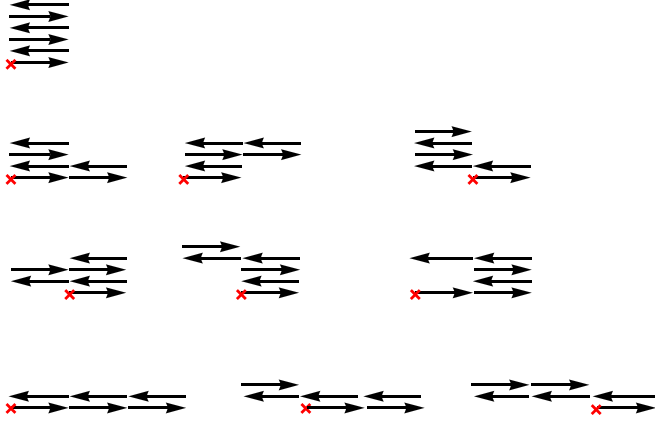


FIG. 5. The 10 closed 1D lattice walks of length $n = 6$ starting to the right. Their counts are, from top, $3c_2(3) = 1$, $3c_2(2, 1) = 3$, $3c_2(1, 2) = 3$, and $3c_2(1, 1, 1) = 3$. The four sets of walks correspond to the four compositions of $n = 3$, namely $3 = 2 + 1 = 1 + 2 = 1 + 1 + 1$. The walks have been spread in the vertical direction for clarity, and to demonstrate their correspondence with Dyck paths. Red crosses denote the starting and ending point of each walk.

$nc_2(l_1, l_2, \dots, l_j)$ corresponds to paths starting with a down step, since for $g = 2$ the two sets of paths map to each other through reflection with respect to the horizontal, for a total of $2nc_2(l_1, l_2, \dots, l_j)$ paths.

We can also infer the more granular Dyck path counting that

$$l_i c_2(l_1, l_2, \dots, l_j) = \prod_{k=1}^{i-1} \binom{l_k + l_{k+1} - 1}{l_k} \times \prod_{k=i}^{j-1} \binom{l_k + l_{k+1} - 1}{l_{k+1}} \quad (18)$$

counts the number of periodic Dyck paths of length $n = 2n$ starting from the i th floor with an up step and having l_1 up steps originating from the first floor, l_2 steps from the second floor, and so on. (The result $l_1 c_2(l_1, l_2, \dots, l_j)$ for $i = 1$ was also derived in Refs. [6,7].) Clearly the sum of the above counts for all $i = 1, 2, \dots, j$ reproduces the total count of starting up paths $nc_2(l_1, l_2, \dots, l_j)$.

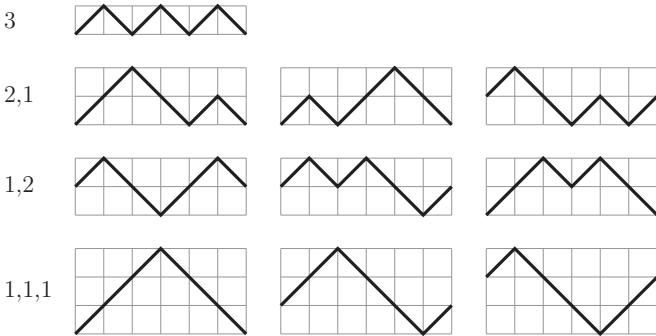


FIG. 6. The 10 periodic Dyck paths of length $n = 6$ starting with an up step. They are in one-to-one correspondence with the 1D walks of Fig. 5.

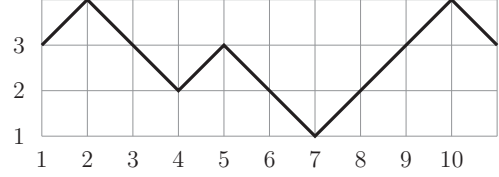


FIG. 7. A periodic Dyck path of length 10 starting at $i = 3$, characterized by the sequence $i_s = 3, 2, 1, 2, 3$ of floors from which the up steps start. One can uniquely reconstruct the path from this sequence by producing the sequence of up step positions $p_s = i - i_s + 2s - 1 = 1, 4, 7, 8, 9$ and filling the gaps with down steps at positions 2, 3, 5, 6, 10.

To give a proof of (18), we remark that the sequence of floors where an up step starts fully determines the path. This is obvious since there is a unique way to “fill in” the remaining down steps to form a path, and can be made explicit by the relation

$$p_s = i - i_s + 2s - 1, \quad s = 1, \dots, n,$$

where $p_s \in [1, 2n]$ is the step of the path at which the s th up step occurs and $i_s \in [1, j]$ is the floor at which it occurs. (p_s, i_s) thus determine both the position and floor at which each up step occurs, fully fixing the path. This is illustrated in Fig. 7 for a periodic path of length 10.

It follows that, to enumerate all possible periodic Dyck paths starting from a given floor i with an up step, it is sufficient to count all the possible sequences of floors where an up step starts, given the constraint that l_1 steps are on the first floor, l_2 steps on the second floor, and so on. Note, however, that admissible floor sequences satisfy the additional constraint $i_{s+1} \leq i_s + 1$ (arising from $p_{s+1} > p_s$) as well as the starting condition at floor i , namely $i_1 = i$.

To count these configurations efficiently, we start from the top two floors j and $j - 1$. Since these floors are above the floor i of the starting step, the first up step among the l_{j-1} and l_j steps on these floors must necessarily be on the $j - 1$ floor. Now notice that all floor j up steps that are between any two floor $j - 1$ steps *must be connected to the left floor $j - 1$ up step and to each other* (see Fig. 8). Therefore, the configuration of floor j steps is fully fixed by the floor $j - 1$ steps and by the distribution of j floor steps between them. The number of different ways the l_j steps can be distributed among the remaining $l_{j-1} - 1$ steps after the first one is $\binom{l_{j-1} + l_j - 1}{l_j}$.

Moving to the next two floors, $j - 1$ and $j - 2$, we can repeat the above argument between the l_{j-2} and l_{j-1} up steps. Each floor $j - 1$ up step comes with a fixed set of floor j up steps attached and constitutes one compact unit. The distribution between the l_{j-2} steps and the l_{j-1} units, with the first step again on the $j - 2$ floor, fully fixes the positions of the l_{j-1} units, and there are $\binom{l_{j-2} + l_{j-1} - 1}{l_{j-1}}$ such configurations. The argument can be repeated as long as all steps are above i , that is, down to floors i and $i + 1$, giving an overall multiplicity of paths with fixed up steps on floors $i, i - 1, \dots, 1$

$$C_{\text{above } i} = \prod_{k=i}^{j-1} \binom{l_k + l_{k+1} - 1}{l_{k+1}}.$$

Once we dip below i the situation changes. For floors k and $k - 1$, $k \leq i$, the first up step could be either on floor k or on

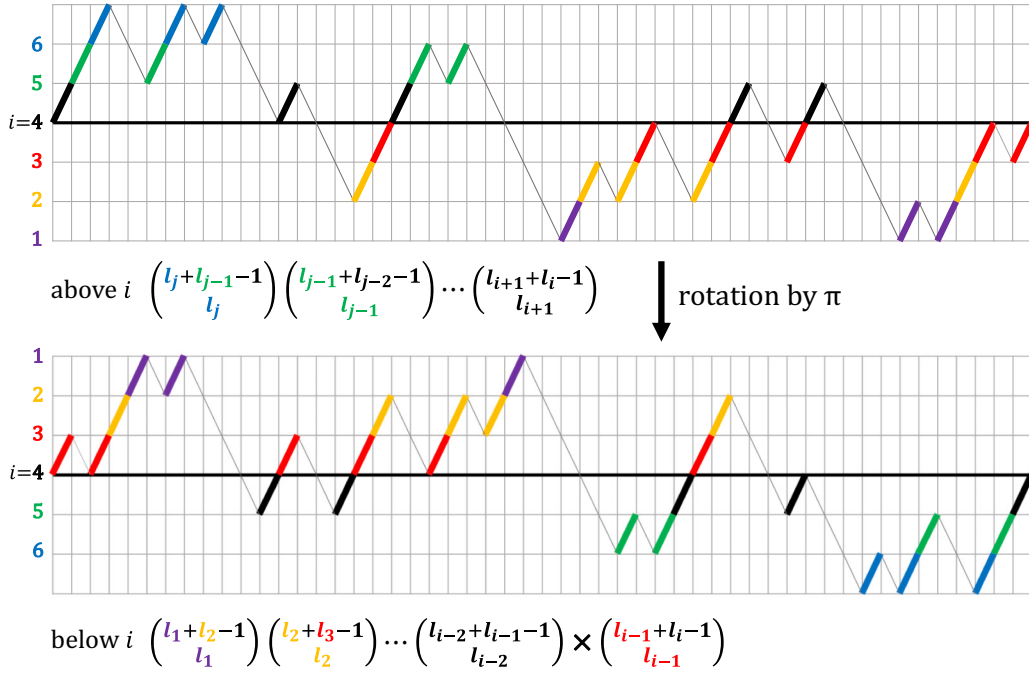


FIG. 8. A periodic Dyck path starting and ending at floor $i = 4$. The first (blue) up step on floor 6 is connected to the (green) up step on floor 5 at its left, and the next two floor 6 up steps are connected to each other and the floor 5 up step to their left. The steps on floor 5 become “units” with the floor 6 steps attached to them, and are attached to floor 4 (black) up steps to their left. Rotating by π , (purple) up steps on floor 1 are connected to (orange) up steps on floor 2 to their left, and similarly for steps on floors 2 and 3 (red). The ordering of up steps on the remaining floors 4 and 3 (except the starting step) is unrestricted.

$k - 1$, and the configuration of floor k units is *not* fixed by the floor k up steps. To deal with floors below i , we rotate the path by π , which inverts floors as well as the direction of the path but leaves up steps as up steps. The bottom floors 1 and 2 now effectively become top floors, and the situation is similar to floors j and $j - 1$. The first up step is necessarily at floor 2, and a similar argument as before gives the multiplicity of paths with fixed up steps on floor 2 as $\binom{l_1+l_2-1}{l_1}$. Repeating the above argument for higher floors, as long as all steps are below i , that is, up to floors $i - 2$ and $i - 1$, we get an overall multiplicity of paths with fixed up steps on floors $i - 1, i, \dots, j$

$$C_{\text{below } i} = \prod_{k=1}^{i-2} \binom{l_k + l_{k+1} - 1}{l_k}.$$

Note that we cannot extend the argument to floors $i - 1$ and i since up steps originating at floor i lie *above* i (and thus, upon inversion, below it).

The product of the above two factors gives the multiplicity of paths with fixed up steps on floors $i - 1$ and i (the only two left fixed by above- i or below- i considerations). The full multiplicity of paths can be determined by also considering the relative placement of the l_{i-1} and l_i up steps on these two floors. They can, in principle, be distributed at will, except that we have fixed the first up step to be on floor i . The remaining $l_i - 1$ and l_{i-1} steps can be distributed in $\binom{l_{i-1}+l_i-1}{l_{i-1}}$ ways, which contributes the missing factor $k = i - 1$ in the product for $C_{\text{below } i}$. Combining the factors reproduces (18).

Note that if we relax the condition that paths start with an up step from the starting floor i , then all l_i and l_{i-1} steps can be distributed at will and contribute a multiplicity $\binom{l_{i-1}+l_i}{l_{i-1}}$. This differs by a factor $(l_{i-1} + l_i)/l_i$ from the previous result and gives the result

$$C_i = (l_i + l_{i-1}) c_2(l_1, l_2, \dots, l_j)$$

for the number of paths starting and ending at floor i . (This result was also derived in [7].) Summing over $i = 1, 2, \dots, j + 1$ (with $l_0 = l_{j+1} = 0$) reproduces the full number of paths $2n c_2(l_1, l_2, \dots, l_j)$.

We also note that the case of paths starting with a down step at floor $i = 2, \dots, j + 1$ can be dealt with in a similar way, with the difference that now the first up step on floors $i - 1$ and i happens at floor $i - 1$, so their relative arrangement has a multiplicity $\binom{l_{i-1}+l_i-1}{l_i}$, which yields, as expected, the result $l_{i-1} c_2(l_1, l_2, \dots, l_j)$. This can also be obtained graphically by (i) cutting the periodic Dyck paths starting with an up step from the $(i - 1)$ th floor at the last occurrence of a down step from the i th floor and (ii) interchanging the two pieces (see Fig. 9).

This establishes a one-to-one mapping between paths starting with an up step from floor $i - 1$ and paths starting with a down step from floor i and shows that $l_{i-1} c_2(l_1, l_2, \dots, l_j)$ also counts the number of these paths, consistent with the analytical result as well as the counting $(l_i + l_{i-1}) c_2(l_1, l_2, \dots, l_j)$ for (up- or down-starting) periodic paths starting at floor i derived before, and the total number $2n c_2(l_1, l_2, \dots, l_j)$ of such periodic paths.

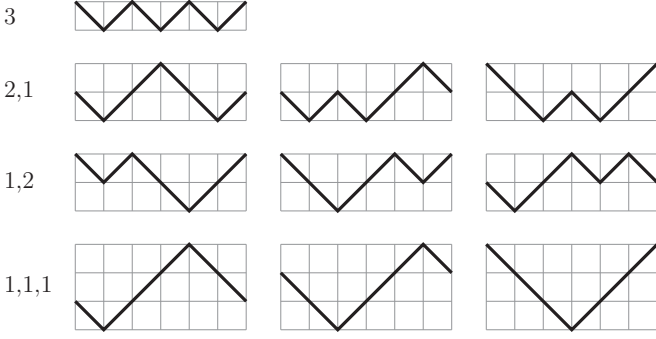


FIG. 9. The 10 periodic Dyck paths of length $n = 6$ starting with a down step. Their counts are $3c_2(3) = 1$, $3c_2(2, 1) = 3$, $3c_2(1, 2) = 3$ and $3c_2(1, 1, 1) = 3$.

B. General g

These results can be generalized to g -exclusion paths. Consider periodic generalized $[g-1, -1]$ Dyck paths of length $n = gn$ with n up steps, each going up $g-1$ floors, and $(g-1)n$ down steps, each going down 1 floor, and thus confined between the 1st and $(j+g-1)$ th floor. The number of paths starting with an up step from the i th floor is

$$l_i c_g(l_1, l_2, \dots, l_j) = \frac{(l_{i-g+1} + \dots + l_i - 1)!}{l_{i-g+1}! \dots l_{i-1}! (l_i - 1)!} \prod_{k=1}^{i-g} \binom{l_k + \dots + l_{k+g-1} - 1}{l_k} \times \prod_{k=i-g+2}^{j-g+1} \binom{l_k + \dots + l_{k+g-1} - 1}{l_{k+g-1}} \quad (19a)$$

$$= \frac{(l_i + \dots + l_{i+g-2} - 1)!}{(l_i - 1)! l_{i+1}! \dots l_{i+g-2}!} \prod_{k=1}^{i-1} \binom{l_k + \dots + l_{k+g-1} - 1}{l_k} \times \prod_{k=i}^{j-g+1} \binom{l_k + \dots + l_{k+g-1} - 1}{l_{k+g-1}} \quad (19b)$$

with the conventions $l_i = 0$ for $i < 1$ or $i > j$, and $\prod_{k=m}^n (\dots) = 1$ for $n < m$ understood.

The proof of this formula can be achieved with a method similar to the one for $g = 2$, appropriately generalized. Again, the sequence i_s of floors with up steps fixes the path, the positions p_s of up steps in the path being given by

$$p_s = i - i_s + g(s-1) + 1.$$

Similarly to the $g = 2$ case, the up steps on the top floor j are fully determined by their relative position with respect to the up steps on the $g-1$ floors below it $j-1, \dots, j-g+1$ (which can only be followed by down steps), with the first up step always occurring in one of these lower floors, for a multiplicity of $\binom{l_{j-g+1} + \dots + l_j - 1}{l_j}$. Up steps on floors $j-g+1, \dots, j-1$ now constitute units with any possible floor j steps attached to them, and the argument can be repeated for all successive sets of g floors $k, k-1, \dots, k-g+1$, accumulating multiplicity factors $\binom{l_{k-g+1} + \dots + l_k - 1}{l_k}$ down to $k = i+1$.

The same argument can be used for steps below i after a π rotation, starting from the bottom g floors $1, \dots, g$ and for all higher floors $k, k+1, \dots, k+g-1$, accumulating multiplicity factors $\binom{l_k + \dots + l_{k+g-1} - 1}{l_k}$ up to $k = i-g$ (up steps that connect to floor k below i downwards, as the rotation by π argument requires, originate from floor $k+g-1$, and $k = i-g$ is the highest floor for which this step originates below floor i).

The multiplicities picked up for steps below and above floor i reproduce the products in (19a). The combination of the two reduction processes leaves a common set of fixed steps on floors $i-g+1, \dots, i$. The relative placement of these steps can be chosen at will, with the constraint that the first up step is from floor i , giving a multiplicity of

$$\binom{l_{i-g+1} + \dots + l_i - 1}{l_{i-g+1}, \dots, l_{i-1}, l_i - 1} = \frac{(l_{i-g+1} + \dots + l_i - 1)!}{l_{i-g+1}! \dots l_{i-1}! (l_i - 1)!}$$

reproducing the remaining factor in (19a). For $i \leq g$ there are no steps below i to consider and the reduction above i may need to terminate at a floor higher than $i+1$, and for $j \leq g$ all up steps can arise in any arrangement, and these cases are captured by the conventions below (19b). The rewriting in (19b) minimized the use of these conventions.

The number of paths starting from floor i with either an up or down step can also be derived. In this case, there is no requirement that the first step among floors $i-g+1, \dots, i$ must be on floor i , and the relative placement of up steps is unrestricted. Their multiplicity is $\binom{l_{i-g+1} + \dots + l_i}{l_{i-g+1}, \dots, l_i}$, which gives the result for the number of paths $(l_{i-g+1} + \dots + l_i) c_g(l_1, \dots, l_j)$. Correspondingly, the number of paths starting with a down step from floor i is deduced by subtracting $l_i c_g(l_1, \dots, l_j)$ from the full count, yielding $(l_{i-g+1} + \dots + l_{i-1}) c_g(l_1, \dots, l_j)$, and the total number of paths is obtained by summing over all i as $gn c_g(l_1, \dots, l_j)$.

The derivation of the counting formula can be substantially simplified using the following alternative approach based on cyclic permutations that bypasses the subtleties around the starting floor i .

We first calculate the number of paths starting from the lowest floor $i = 1$. Now all steps originate above i , and the reduction argument applies down to floors $1, \dots, g$, giving the multiplicity of paths for a fixed set of up steps on floors 1 through $g-1$ as the product of factors $\binom{l_k + \dots + l_{k+g-1} - 1}{l_{k+g-1}}$ for $k = 1, \dots, j-g+1$. The placement of up steps that start in the bottom $g-1$ floors is arbitrary with the exception that the first up step occurs on floor 1 , for a multiplicity of $\binom{l_1 + \dots + l_{g-1} - 1}{l_1, \dots, l_{g-1}}$. Altogether, the number of paths starting at the bottom floor is

$$\frac{(l_1 + \dots + l_{g-1} - 1)!}{(l_1 - 1)! l_2! \dots l_{g-1}!} \prod_{k=1}^{j-g+1} \binom{l_k + \dots + l_{k+g-1} - 1}{l_{k+g-1}} = l_1 c_g(l_1, \dots, l_j). \quad (20)$$

The number of all possible paths can be obtained by circularly permuting the gn steps of paths starting at the bottom, which produces $gn l_1 c_g(l_1, \dots, l_j)$ paths. However, each time an up step from the 1st floor occurs first, it reproduces the set of paths starting at the bottom. Since there are l_1 such steps, this

results in an overcounting by a factor l_1 . Correcting for this, we recover the total number of paths as $gn c_g(l_1, l_2, \dots, l_j)$.

The count of paths starting with an up step at floor i can be obtained with a similar argument. Cyclically permuting these paths reproduces, again, all possible paths, but with an overcounting by a factor of l_i , since each time that an up step at floor i becomes first it reproduces the full set. Therefore, we obtain a count of $l_i c_g(l_1, \dots, l_j)$ as obtained before. The number of paths starting with a down step from the i th floor, with $i = 2, 3, \dots, j + g - 1$, can also be reproduced graphically: (i) consider all periodic paths starting with an up step from either the $(i - 1)$ th or the $(i - 2)$ th, or ... the $(i - g + 1)$ th floor, and cut them at the last occurrence of a down step from the i th floor and (ii) interchange the two pieces.

This, again, establishes a one-to-one correspondence between the two sets of paths, and gives the number of paths starting with a down step from floor $i = 2, \dots, j + g - 1$ and $l_1, l_2, \dots, l_j$ up steps from each floor as $(l_{i-g+1} + \dots + l_{i-1}) c_g(l_1, \dots, l_j)$, as obtained before.

V. A GENERALIZATION: (1, g)-EXCLUSION STATISTICS AND GENERALIZED MOTZKIN PATHS

A. (1,2)-exclusion statistics

In Ref. [8] we tackled the algebraic area enumeration of closed walks on a honeycomb lattice. Again a Hofstadter-like Hamiltonian was central to the enumeration, rewritten as a $2q \times 2q$ matrix, which was subsequently reduced to a $q \times q$ matrix. The essence of the enumeration was encapsulated in the exclusion matrix

$$H_{1,2} = \begin{pmatrix} \tilde{s}_1 & f_1 & 0 & \cdots & 0 & 0 \\ g_1 & \tilde{s}_2 & f_2 & \cdots & 0 & 0 \\ 0 & g_2 & \tilde{s}_3 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & \tilde{s}_{q-1} & f_{q-1} \\ 0 & 0 & 0 & \cdots & g_{q-1} & \tilde{s}_q \end{pmatrix}.$$

In addition to the two subdiagonals f_k and g_k , a hallmark of $g = 2$ exclusion, $H_{1,2}$ also has a nonvanishing $\tilde{s}_k$ main diagonal, a hallmark of $g = 1$ statistics, i.e., Fermi statistics, as it indeed describes particles obeying a mixture of the two statistics $g = 1$ and $g = 2$.

The secular determinant reads

$$\det(I - zH_{1,2}) = \sum_{n=0}^q (-z)^n Z(n), \quad (21)$$

where $Z(n)$ can be interpreted as the n -body partition function for particles in a one-body spectrum $\epsilon_1, \epsilon_2, \dots, \epsilon_k, \dots, \epsilon_q$ with fermions occupying one-body energy level k with Boltzmann factor $e^{-\beta\epsilon_k} = \tilde{s}_k$ and two-fermion bound states occupying one-body energy levels $k, k + 1$ with Boltzmann factor $e^{-\beta\epsilon_{k,k+1}} = -g_k f_k := -s_k$.⁵ Since the two-fermion

bound states behave effectively as $g = 2$ exclusion particles, we end up with a mixture of $g = 1$ and $g = 2$ exclusion statistics, where $\det(I - zH_{1,2})$ becomes a grand partition function with $-z$ playing the role of the fugacity parameter. For example, for $q = 5$

$$\begin{aligned} Z(4) = & \tilde{s}_4 \tilde{s}_3 \tilde{s}_2 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_3 \tilde{s}_2 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_4 \tilde{s}_2 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_4 \tilde{s}_3 \tilde{s}_1 + \tilde{s}_5 \tilde{s}_4 \tilde{s}_3 \tilde{s}_2 \\ & + \tilde{s}_4 \tilde{s}_3 (-s_1) + \tilde{s}_5 \tilde{s}_3 (-s_1) + \tilde{s}_5 \tilde{s}_4 (-s_1) + \tilde{s}_4 \tilde{s}_1 (-s_2) \\ & + \tilde{s}_5 \tilde{s}_1 (-s_2) + \tilde{s}_5 \tilde{s}_4 (-s_2) + \tilde{s}_2 \tilde{s}_1 (-s_3) + \tilde{s}_5 \tilde{s}_1 (-s_3) \\ & + \tilde{s}_5 \tilde{s}_2 (-s_3) + \tilde{s}_2 \tilde{s}_1 (-s_4) + \tilde{s}_3 \tilde{s}_1 (-s_4) + \tilde{s}_3 \tilde{s}_2 (-s_4) \\ & + (-s_3)(-s_1) + (-s_4)(-s_1) + (-s_4)(-s_2) \end{aligned}$$

can be readily interpreted in Fig. 10 as the four-body partition function for four particles, either individual fermions or two-fermion bound states, occupying in all possible ways the five one-body levels ϵ_k , $k = 1, \dots, 5$. Clearly when all $\tilde{s}_k$ are set to 0 in (21), the $Z(2n + 1)$'s vanish and the $Z(2n)$'s reduce to the n -body partition functions (5) for $g = 2$ exclusion particles, that is,

$$\begin{aligned} \det(I - zH_{1,2}) &= \sum_{n=0, \text{ even}}^q (-z)^n Z(n) \\ &= \sum_{n=0}^{\lfloor q/2 \rfloor} z^{2n} Z(2n) = \det(I - zH_2), \end{aligned} \quad (22)$$

where in the last step we identified $(-1)^n Z(2n)$ to the $Z(n)$ for 2-exclusion appearing in $\det(I - zH_2)$ and given in (5). From

$$\log \left\{ \sum_{n=0}^q Z(n) z^n \right\} = \sum_{n=1}^{\infty} b(n) z^n \quad (23)$$

implying

$$\text{tr } H_{1,2}^n = n(-1)^{n+1} b(n),$$

one infers

$$\begin{aligned} b(n) &= (-1)^{n+1} \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j \\ (1,2)\text{-composition of } n}} c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j) \\ &\times \sum_{k=1}^{q-j} \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \cdots \end{aligned} \quad (24)$$

with

$$\begin{aligned} c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j) &= \frac{\binom{\tilde{l}_1 + l_1}{l_1}}{\tilde{l}_1 + l_1} l_1 \frac{\binom{l_1 + \tilde{l}_2 + l_2}{l_1, \tilde{l}_2, l_2}}{l_1 + \tilde{l}_2 + l_2} \cdots l_j \frac{\binom{l_j + \tilde{l}_{j+1}}{l_j}}{l_j + \tilde{l}_{j+1}} \\ &= \frac{(\tilde{l}_1 + l_1 - 1)!}{\tilde{l}_1! l_1!} \prod_{k=2}^{j+1} \binom{l_{k-1} + \tilde{l}_k + l_k - 1}{l_{k-1} - 1, \tilde{l}_k, l_k} \end{aligned} \quad (25)$$

with the usual convention $l_k = 0$ for $k > j$. We note that setting all $\tilde{l}_i$'s to zero reduces $c_{1,2}(\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j)$ in (25) to the standard 2-exclusion $c_2(l_1, \dots, l_j)$ already discussed in (8). Likewise, setting $\tilde{s}_k = 0$ in (24) eliminates all terms with nonzero $\tilde{l}_i$'s and (25) effectively reduces to (8).

⁵In the pure $g = 2$ case we took the Boltzmann factors of exclusion particles (bound states) as $+s_k$ and compensated by absorbing the negative sign in the fugacity $-z^2$. In the mixed 1, g case we have no such flexibility, although the alternative, more symmetric choice $e^{-\beta\epsilon_k} = -\tilde{s}_k$, $e^{-\beta\epsilon_{k,k+1}} = -s_k$ and fugacity $+z$ could have been made.

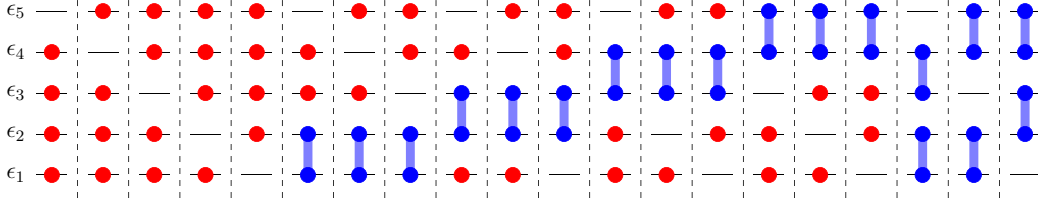


FIG. 10. $Z(4)$ for $q = 5$: All possible occupancies of the five one-body levels by four particles with either fermions (red) or two-fermion bound states (blue).

We define the sequence of integers $\tilde{l}_1, \dots, \tilde{l}_{j+1}; l_1, \dots, l_j$, $j \geq 0$, labeling $c_{1,2}$ in (25) as a $(1,2)$ -composition of the integer $\mathbf{n}$ if they satisfy the defining conditions

$$\mathbf{n} = (\tilde{l}_1 + \tilde{l}_2 + \dots + \tilde{l}_{j+1}) + 2(l_1 + l_2 + \dots + l_j),$$

$$\tilde{l}_i \geq 0, \quad l_i > 0. \quad (26)$$

That is, the l_i 's are the usual compositions of integers $1, 2, \dots, \lfloor \mathbf{n}/2 \rfloor$, while the $\tilde{l}_i$'s are additional non-negative integers. (For $j = 0$, we have the trivial composition $\tilde{l}_1 = \mathbf{n}$.) For example, there are six $(1,2)$ -compositions of 4: (4), (2, 0; 1), (1, 1; 1), (0, 2; 1), (0, 0; 2), (0, 0, 0; 1, 1), which contribute to $b(4)$ the terms

$$-b(4) = \frac{1}{4} \sum_{k=1}^q \tilde{s}_k^4 + \sum_{k=1}^{q-1} \tilde{s}_k^2 s_k + \sum_{k=1}^{q-1} \tilde{s}_k s_k \tilde{s}_{k+1} + \sum_{k=1}^{q-1} s_k \tilde{s}_{k+1}^2$$

$$+ \frac{1}{2} \sum_{k=1}^{q-1} s_k^2 + \sum_{k=1}^{q-2} s_k s_{k+1}.$$

Note that the inverse of a composition, defined as $\tilde{l}_{j+1}, \dots, \tilde{l}_1; l_j, \dots, l_1$ leaves $c_{1,2}$ invariant.

B. $(1, g)$ -exclusion statistics

For a mixture of $g = 1$ and g exclusion the associated algebraic area enumeration is encapsulated in the $(1, g)$ -exclusion matrix (again assuming zero “umklapp” matrix elements at the off-diagonal corners)

$$H_{1,g} = \begin{pmatrix} \tilde{s}_1 & f_1 & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ 0 & \tilde{s}_2 & f_2 & \dots & 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ g_1 & 0 & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ 0 & g_2 & 0 & \dots & 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots & f_{q-1} \\ 0 & 0 & 0 & \dots & g_{q-g+1} & 0 & 0 & \dots & \tilde{s}_q \end{pmatrix}. \quad (27)$$

Following the same route as in the g -exclusion case, i.e., computing the secular determinant $\det(I - zH_{1,g})$, leads now to a mixture of fermions with Boltzmann factors $e^{-\beta\epsilon_k} = \tilde{s}_k$ and g -fermion bound states with g particles occupying g successive one-body levels $k, k+1, \dots, k+g-1$ with Boltzmann factors

$$e^{-\beta\epsilon_{k, \dots, k+g-1}} = (-1)^{g-1} s_k := (-1)^{g-1} g_k f_k f_{k+1} \dots f_{k+g-2} \quad (28)$$

behaving effectively as g -exclusion particles. The associated cluster coefficients are

$$b(\mathbf{n}) = (-1)^{\mathbf{n}+1} \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j \\ (1,g)\text{-composition of } \mathbf{n}}} c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j)$$

$$\times \sum_{k=1}^{q-j-g+2} \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \dots \quad (29)$$

We define the sequence of integers $\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+g-1}; l_1, l_2, \dots, l_j$, $j \geq 1$, as a $(1, g)$ -composition of $\mathbf{n}$ if they satisfy the conditions

$$\mathbf{n} = (\tilde{l}_1 + \tilde{l}_2 + \dots + \tilde{l}_{j+g-1}) + g(l_1 + l_2 + \dots + l_j)$$

$$\tilde{l}_i \geq 0; l_i \geq 0, l_1, l_j > 0, \text{ at most } g-2 \text{ successive vanishing } l_i. \quad (30)$$

That is, the l_j 's are the usual g -compositions of integers $1, 2, \dots, \lfloor \mathbf{n}/g \rfloor$ and the $\tilde{l}_i$'s are additional non-negative integers. (We also include the trivial composition $\tilde{l}_1 = \mathbf{n}$.) For example, there are seven $(1,3)$ -compositions of 5

$j = 0$: (5); $j = 1$: (2, 0, 0; 1), (1, 1, 0; 1), (1, 0, 1; 1), (0, 2, 0; 1), (0, 1, 1; 1), (0, 0, 2; 1)

and five $(1,4)$ -compositions of 5

$j = 0$: (5); $j = 1$: (1, 0, 0, 0; 1), (0, 1, 0, 0; 1), (0, 0, 1, 0; 1), (0, 0, 0, 1; 1)

The $c_{1,g}(\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+g-1}; l_1, l_2, \dots, l_j)$ in (29) read

$$c_{1,g}(\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+g-1}; l_1, l_2, \dots, l_j)$$

$$= \frac{(\tilde{l}_1 + l_1 - 1)!}{\tilde{l}_1! l_1!} \prod_{k=2}^{j+g-1} \binom{\tilde{l}_k + \sum_{i=k-g+1}^k l_i - 1}{\sum_{i=k-g+1}^{k-1} l_i - 1, \tilde{l}_k, l_k}$$

with $l_i = 0$ for $i \leq 0$ or $i > j$ as usual. It is clear that when $\tilde{l}_i = 0$ only the standard g -composition survives so that the coefficients $c_{1,g}$ in (31) go over to c_g in (15). Equivalently, when $\tilde{s}_i = 0$, terms with non vanishing $\tilde{l}_i$ in (29) drop and we recover the g -exclusion cluster coefficients.

Finally, we define the inverse of a composition by inverting the order of the $\tilde{l}_i$ and of the l_i : $\tilde{l}_i \rightarrow \tilde{l}_{j+g-i}$, $l_i \rightarrow l_{j+1-i}$. Inverse compositions produce the same coefficient $c_{1,g}$.

C. Combinatorial interpretation

$(1, g)$ -compositions already have a combinatorial interpretation, deriving from their relation to cluster coefficients of $(1, g)$ -exclusion statistics. Specifically, $(1, g)$ -compositions correspond to all *distinct connected* arrangements of $\mathbf{n}$ particles on a one-body spectrum, either alone or in g -bound states; that is, to all the possible ways to place particles and bound

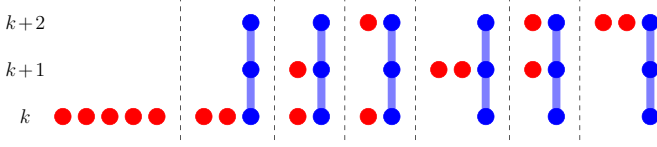


FIG. 11. Seven \$(1,3)\$-compositions of 5: \$(5)\$, \$(2, 0, 0; 1)\$, \$(1, 1, 0; 1)\$, \$(1, 0, 1; 1)\$, \$(0, 2, 0; 1)\$, \$(0, 1, 1; 1)\$, \$(0, 0, 2; 1)\$, illustrated by fermions (red) and three-fermion bound states (blue).

states such that they cannot be separated into two or more mutually non-overlapping groups (see Fig. 11). If the arrangement covers \$j + g - 1\$ consecutive one-body levels \$k + i - 1\$, \$i = 1, \dots, j + g - 1\$, then \$\tilde{l}_i\$ is the number of single particles on one-body level \$k + i - 1\$ and \$l_i\$ is the number of \$g\$-bound states that extend over the \$g\$ levels \$k + i - 1\$ to \$k + i + g - 2\$ (\$i = 1, \dots, j\$).

Note that the above configurations are forbidden by \$(1, g)\$-exclusion statistics. They constitute the “connected” components of the grand partition function, and their exponentiation, with appropriate coefficients \$(-1)^{n+1} z^n c_{1,g}(\tilde{l}_1, \dots; l_1, \dots)\$, produces the correct exclusion grand partition function, each term “correcting” the overcounting arising from the exponentiation of lower order terms. For pure fermion statistics \$g = 1\$, all particles must occupy the same level, leading to the trivial composition \$\tilde{l}_1 = n\$ and the fermionic cluster coefficients \$(-1)^{n+1}/n\$.

To give a combinatorial interpretation to the multiplicity coefficients \$c_{1,g}(\tilde{l}_1, \dots; l_1, \dots)\$ we revert to the trace of the \$n\$th power of \$H_{1,g}\$. In terms of the matrix elements \$h_{ij}\$ of \$H_{1,g}^\top\$ in (27) this trace is

$$\text{tr } H_{1,g}^n = \sum_{k_1=1}^q \sum_{k_2=1}^q \cdots \sum_{k_n=1}^q h_{k_1 k_2} h_{k_2 k_3} \cdots h_{k_n k_1}. \quad (31)$$

The structure of the \$(1, g)\$-exclusion matrix (27) implies that (31) is a sum of products of \$n\$ factors \$h_{k_i k_{i+1}}\$ with indices such that \$k_{i+1} - k_i\$ take values \$g - 1, 0\$, or \$-1\$. We map the

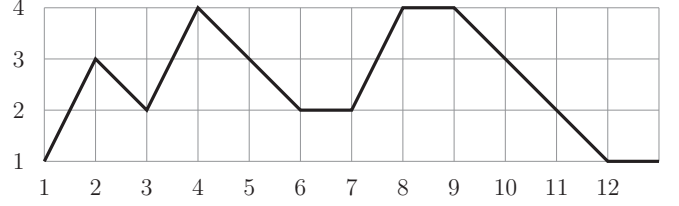


FIG. 12. A periodic generalized Motzkin path of length \$n = 12\$ corresponding to the \$(1,3)\$-composition \$1, 1, 0, 1; 1, 2\$, starting with an up step from the first floor.

sequence of indices \$k_1, k_2, \dots, k_{n-1}, k_n, k_1\$ to the heights of a periodic generalized \$[g - 1, 0, -1]\$ Motzkin path (“bridge”) of length \$n\$ starting and ending at height \$k_1\$, with vertical steps up by \$g - 1\$ units or down by 1 unit as well as horizontal steps (see Fig. 12 for an example). Evaluating the trace (31) amounts to summing the corresponding products over all such periodic paths. We note that periodic paths must have \$g - 1\$ down steps for each up step.

As in the \$g\$-exclusion case, to group together terms with the same weight \$h_{k_1 k_2} \cdots h_{k_n k_1}\$ we need to consider paths with a fixed number of transitions per level. For each path that reaches a lowest one-body energy level \$k\$ and highest level from which an up step starts \$k + j - 1\$, and thus highest level reached \$k + j + g - 2\$, we denote by \$l_1, l_2, \dots, l_j\$ the number of up steps from levels \$k, k + 1, \dots, k + j - 1\$ and by \$\tilde{l}_1, \tilde{l}_2, \dots, \tilde{l}_{j+g-1}\$ the number of horizontal steps at levels \$k, k + 1, \dots, k + j + g - 2\$. Clearly \$\tilde{l}_1 + \cdots + \tilde{l}_{j+g-1} + g(l_1 + \cdots + l_j) = n\$, and at most \$g - 2\$ successive \$l_i\$ can vanish, since up steps can skip \$g - 2\$ floors. Therefore, \$\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j\$ is a \$(1, g)\$-composition of \$n\$. As before, each up step \$k_i \rightarrow k_i + g - 1\$ necessarily implies down steps \$k_i + g - 1 \rightarrow k_i + g - 2, \dots, k_i + 1 \rightarrow k_i\$, so factors in each term in (31) corresponding to each up step \$k_i \rightarrow k_i + g - 1\$ contribute the full combination \$h_{k_i, k_i+g-1} h_{k_i+g-1, k_i+g-2} \cdots h_{k_i+1, k_i} = g_{k_i} f_{k_i+g-2} \cdots f_{k_i} = s_{k_i}\$.

Altogether, the sum in (31) rewrites as

$$\text{tr } H_{1,g}^n = \sum_{k=1}^{q-j-g+2} \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j \\ (1,g)\text{-composition of } n}} C_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j) \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \cdots,$$

where \$C_{1,g}(\tilde{l}_1, \dots; l_1, \dots)\$ is the number of periodic generalized Motzkin paths of length \$n\$ with \$\tilde{l}_1\$ horizontal steps and \$l_1\$ up steps originating from the first floor, \$\tilde{l}_2\$ and \$l_2\$ from the second floor, and so on [the sum over \$k\$ ensures that paths of all possible starting level \$k_1\$ in (31) are included]. Comparing with

$$\text{tr } H_{1,g}^n = n \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j \\ (1,g)\text{-composition of } n}} c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j) \sum_{k=1}^{q-j-g+2} \tilde{s}_k^{\tilde{l}_1} s_k^{l_1} \tilde{s}_{k+1}^{\tilde{l}_2} s_{k+1}^{l_2} \cdots$$

we see that

$$C_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j) = n c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j).$$

Therefore, \$n c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j)\$ admits the interpretation of the number of periodic generalized \$[g - 1, 0, -1]\$

Motzkin paths with horizontal and up steps as defined above.

The number of such paths starting with an up step, respectively a horizontal step, from floor \$i\$ can also be deduced as \$l_i c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j)\$, respectively \$\tilde{l}_i c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j)\$, while the total number of

paths starting from floor i is

$$\left(\tilde{l}_i + \sum_{k=i-g+1}^i l_k \right) c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j).$$

(The result $(\tilde{l}_1 + l_1) c_{1,2}$ for $i = 1$ Motzkin excursions was also derived in Ref. [9].) Finally, the number of paths starting at floor i with a down step can be deduced as

$$\left(\sum_{k=i-g+1}^{i-1} l_k \right) c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j).$$

The proof of the above counting formulas can be obtained similarly to the case of g -exclusion and generalized Dyck paths. The simplest method is the one outlined at the end of Sec. IV B based on cyclic permutations. Consider first paths that start with an up step from the first floor. A combinatorial argument entirely analogous to the one in Sec. IV B yields the result $l_1 c_{1,g}$ for the number of such paths, and by periodic permutation and reduction by an overcounting factor of l_1 the total number of paths obtains as $\mathbf{n} c_{1,g}$. A repetition of the periodic argument from floor i , then, produces the results $l_i c_{1,g}$ and $\tilde{l}_i c_{1,g}$ for the number of paths starting up or horizontally from floor i , and a “cutting and exchanging” argument gives the number of paths starting down from floor i . The details are similar to the ones in Sec. IV B and are left as an exercise.

We conclude by giving the number of $(1, g)$ -compositions of a given integer $\mathbf{n}$

$$N_{1,g}(\mathbf{n}) = 1 + \sum_{k=0}^{\lfloor \mathbf{n}/g \rfloor - 1} \sum_{m=0}^{(g-1)k} \binom{k}{m}_g \binom{\mathbf{n} + m - gk - 1}{m + g - 1}, \quad (32)$$

where the g -nomial coefficient is defined as

$$\begin{aligned} \binom{k}{m}_g &= [x^m](1 + x + x^2 + \dots + x^{g-1})^k = [x^m] \left(\frac{1 - x^g}{1 - x} \right)^k \\ &= \sum_{j=0}^{\lfloor m/g \rfloor} (-1)^j \binom{k}{j} \binom{k + m - gj - 1}{k - 1}. \end{aligned}$$

For $g = 2$ it reduces to the standard binomial coefficient $\binom{k}{m}_2 = \binom{k}{m}$. So (32) becomes the triple sum

$$\begin{aligned} N_{1,g}(\mathbf{n}) &= 1 + \sum_{k=0}^{\lfloor \mathbf{n}/g \rfloor - 1} \sum_{m=0}^{(g-1)k} \sum_{j=0}^{\lfloor m/g \rfloor} (-1)^j \binom{k}{j} \binom{k + m - gj - 1}{k - 1} \\ &\quad \times \binom{\mathbf{n} + m - gk - 1}{m + g - 1}. \end{aligned}$$

Equivalently, the generating function of the $N_{1,g}(\mathbf{n})$'s is

$$\begin{aligned} \sum_{\mathbf{n}=0}^{\infty} x^{\mathbf{n}} N_{1,g}(\mathbf{n}) &= \frac{(1-x)^{g-2}(1+x^{g-1}-x^g)-x^{g-1}}{(1-x)^{g-1}(1+x^{g-1}-x^g)-x^{g-1}} \\ &= \frac{1}{1-x} \left[1 + \frac{x^g}{(1-x)^{g-1}(1+x^{g-1}-x^g)-x^{g-1}} \right]. \end{aligned} \quad (33)$$

In the second line, the term $1/(1-x)$ reproduces the trivial compositions ($\tilde{l}_1 = \mathbf{n}$) while the other term reproduces all the nontrivial ones. Finally, the number of all unrestricted periodic $[g-1, 0, -1]$ generalized Motzkin paths is obtained by summing $c_{1,g}$ over all $1, g$ -compositions and yields the relation

$$\begin{aligned} \mathbf{n} \sum_{\substack{\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j \\ (1,g)\text{-composition of } \mathbf{n}}} c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j) \\ = [x^0](x^{g-1} + 1 + x^{-1})^{\mathbf{n}} = \sum_{k=0}^{\lfloor \mathbf{n}/g \rfloor} \binom{\mathbf{n}}{gk} \binom{gk}{k}. \end{aligned}$$

VI. CONCLUSIONS

We have established a connection between the enumeration of lattice walks according to their algebraic area, quantum exclusion statistics, and the combinatorics of generalized Dyck and Motzkin paths (also known as Łukasiewicz paths). The key common quantities are the coefficients $c_g(l_1, \dots, l_j)$ and $c_{1,g}(\tilde{l}_1, \dots, \tilde{l}_{j+g-1}; l_1, \dots, l_j)$ labeled by the g -compositions and the $(1, g)$ -compositions of the length of the walks. These coefficients appear as essential building blocks of the algebraic area partition function of walks on square or honeycomb lattices, the cluster coefficients g -exclusion and $(1, g)$ -exclusion statistical systems, and the counting of generalized paths with specific number of steps from each visited floor. The connection of Dyck paths and $g = 2$ exclusion statistics was established in Ref. [10] and used to calculate the length and area generating function for such paths, and the method was extended to Motzkin paths in Ref. [11]. To the best of our knowledge, the full threefold connection between walks, statistics, and paths, as well as the explicit expressions of c_g and $c_{1,g}$ for $g > 2$ and their relevance to Łukasiewicz path counting, were put forward for the first time in the present work.

There are various directions for possible future investigation. The most immediate one is along the lines already laid out in this work, that is, in the connection of walks of various properties and on various lattices and corresponding paths. For instance, the enumeration of open walks on the square lattice according to their algebraic area was recently achieved [12], with Dyck path combinatorics again playing a key role. Walks on other lattices, such as the kagomé lattice, and of different properties can be investigated with similar methods.

The concept of exclusion statistics and related compositions naturally generalizes to (g', g) and more general $(g_1, g_2, \dots, g_n)$ statistics and compositions, and the statistical mechanical properties of these systems and mathematical properties of their compositions are of interest. It would also be worthwhile to derive the corresponding combinatorial quantities $c_{g_1, \dots, g_n}$ and study their relevance for generalized Łukasiewicz paths.

In a different direction, it is known that Dyck and Motzkin paths appear in various contexts in physics and mathematics. In physics, they appear in percolation processes, interfaces between fluids of different surface tension, and other statistical systems such as long polymer molecules in solution, where the generalized weighted paths are introduced to study the

interactions with the boundary and the polymer is “adsorbed” when the attractive force is sufficiently strong (see, e.g., Ref. [13]). It would be challenging to solve it in this framework. Further, Dyck and Motzkin paths can be mapped to spin-1/2 and spin-1 chains. For example, in Ref. [14] a spin-1 frustration-free Hamiltonian was constructed using Motzkin paths. In a related direction, a family of multispin quantum chains with a free-(para)fermionic eigenspectrum [15] was recently reanalyzed in Ref. [16] and the eigenenergies were obtained via the roots of a polynomial with coefficients similar to the $Z(n)$ in the present paper, indicating a connection with exclusion statistics that warrants further investigation. Finally, in knot theory, the Temperley–Lieb algebra can

have a representation based on Dyck paths, while, if empty vertices (vertices not incident to an edge) are allowed, Motzkin paths become relevant [17]. The extension of this connection to more general paths, and the meaning of the c_g and $c_{1,g}$ coefficients in this context, are nontrivial issues that deserve further study.

ACKNOWLEDGMENTS

L.G. acknowledges the financial support of China Scholarship Council (No. 202009110129). The work of A.P. was supported in part by NSF under Grant No. NSF-PHY-2112729 and by a PSC-CUNY grant.

-
- [1] D. R. Hofstadter, Energy levels and wave functions of Bloch electrons in rational and irrational magnetic fields, *Phys. Rev. B* **14**, 2239 (1976).
 - [2] Ch. Kreft, Explicit computation of the discriminant for the Harper equation with rational flux, SFB 288 Preprint No. 89, TU-Berlin, 1993 (unpublished).
 - [3] S. Ouvry and S. Wu, The algebraic area of closed lattice random walks, *J. Phys. A: Math. Theor.* **52**, 255201 (2019).
 - [4] S. Ouvry and A. P. Polychronakos, Exclusion statistics and lattice random walks, *Nucl. Phys. B* **948**, 114731 (2019); Lattice walk area combinatorics, some remarkable trigonometric sums and Apéry-like numbers, **960**, 115174 (2020).
 - [5] B. Hopkins and S. Ouvry, Combinatorics of multicompositions, in *Proceedings of the Combinatorial and Additive Number Theory Conference* (Springer, Berlin, 2020).
 - [6] C. Krattenthaler, Permutations with restricted patterns and Dyck paths, *Adv. Appl. Math.* **27**, 510 (2001).
 - [7] G. M. Cicutta, M. Contedini and L. Molinari, Enumeration of simple random walks and tridiagonal matrices, *J. Phys. A: Math. Gen.* **35**, 1125 (2002).
 - [8] L. Gan, S. Ouvry, and A. P. Polychronakos, Algebraic area enumeration of random walks on the honeycomb lattice, *Phys. Rev. E* **105**, 014112 (2022).
 - [9] R. Oste and J. Van der Jeugt, Motzkin paths, Motzkin polynomials and recurrence relations, *Electron. J. Combin.* **22**, P2.8 (2015).
 - [10] S. Ouvry and A. P. Polychronakos, Hamiltonian and exclusion statistics approach to discrete forward-moving paths, *Phys. Rev. E* **104**, 014143 (2021).
 - [11] A. P. Polychronakos, Length and area generating functions for height-restricted Motzkin meanders, *Phys. Rev. E* **105**, 024102 (2022).
 - [12] S. Ouvry and A. P. Polychronakos, Algebraic area enumeration for open latticewalks, [arXiv:2206.12428](https://arxiv.org/abs/2206.12428).
 - [13] R. Brak, G. K. Iliev, and T. Prellberg, An infinite family of adsorption models and restricted Lukasiewicz paths, *J. Stat. Phys.* **145**, 669 (2011).
 - [14] S. Bravyi, L. Caha, R. Movassagh, D. Nagaj, and P. W. Shor, Criticality without Frustration for Quantum Spin-1 Chains, *Phys. Rev. Lett.* **109**, 207202 (2012).
 - [15] R. J. Baxter, A simple solvable Z_N Hamiltonian, *Phys. Lett. A* **140**, 155 (1989); Superintegrable chiral Potts model: Thermodynamic properties, an inverse model and a simple associated Hamiltonian, *J. Stat. Phys.* **57**, 1 (1989); Transfer matrix functional relations for the generalized $\tau_2(t_q)$ model, **117**, 1 (2004).
 - [16] P. Fendley, Free parafermions, *J. Phys. A: Math. Theor.* **47**, 075001 (2014); F. C. Alcaraz and R. A. Pimenta, Free fermionic and parafermionic quantum spin chains with multispin interactions, *Phys. Rev. B* **102**, 121101(R) (2020).
 - [17] E. Posner, K. Hatch, and M. Ly, Presentation of the Motzkin monoid, [arXiv:1301.4518](https://arxiv.org/abs/1301.4518).